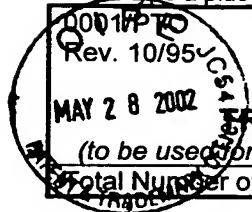


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Patent and Trademark Office: U.S. Department of Commerce



U.S. Department of Commerce  
Patent and Trademark Office

|                        |                    |
|------------------------|--------------------|
| Application Number     | 10/053,246         |
| Filing Date            | 01/15/2002         |
| First Named Inventor   | Bradley M. Andreae |
| Group Art Unit         | 1773               |
| Examiner Name          |                    |
| Attorney Docket Number | 720-00023          |

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of pages in this Submission 3

**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
- ☐ After final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts Under 37 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group ( Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below)

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Remarks

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |   |
|-------------------------|---|
| Firm Or Individual Name | Eugene R. Sawall (Reg. No. 17,431)<br>ANDRUS, SCEALES, STARKE & SAWALL, LLP<br>100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202 |
| Signature               |   |
| Date                    | May 9, 2002   |

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May 9, 2002

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| Typed or printed name | Barbara A. Johnson |
| Signature             |                    |
| Date                  | 5/9/02             |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: BRADLEY M. ANDREAE  
et al.

Serial No. 10/053,246

Filed: January 15, 2002

Title: A METHOD OF ELECTROCOATING OF  
SMALL PARTS WITH VARIOUS PAINTS

PATENT APPLICATION

Group Art Unit:

Examiner:

Attorney Docket No. 720-00023

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

More than one year prior to the filing date of the captioned application, certain employees of SST SYSTEMS, INC. ("SST") approached a potential customer with an idea for a method of curing parts in the same container in which they had been coated. At the time of approaching the customer, there had been no actual reduction to practice of the apparatus that could be used to achieve such a method. The customer agreed to purchase an apparatus that may have been used in performing this method, and agreed to make payments over time for the apparatus. It was made clear by SST, and clearly understood by the customer, that installation of the equipment was being done for experimental purposes. At the time the customer was approached, SST was unaware if the apparatus would perform the desired function. Thus, experimentation was needed to convince the inventors that the invention was capable of performing its intended purpose in its intended environment. The customer was aware that the equipment might not work in the manner intended, and was told that the machine would be removed and


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| on <u>5-9-02</u>  | <u>Barbara A. Johnson</u> |
| Date  | Signature                 |
| Barbara A. Johnson  |                           |
| Typed or Printed Name of Person Signing Certificate   |                           |

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and their money refunded in the event that SST could not eventually produce a machine that performed its intended purpose. Following installation of the machine, SST personnel made numerous adjustments that included, for example, changes to the barrel container housing the parts being coated and cured; the barrel as initially installed did not work properly and thus the adjustments were necessary for the barrel to perform its intended purpose at the customer's facility. The machine was not completely installed until January 20, 2001 and was not operated for the first time for coating and curing parts until several days later. Final payment was not received on the equipment until after it was determined that the equipment was capable of carrying out a method for both coating and curing parts in the same container.

Respectfully submitted,

EUGENE R. SAWALL  
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Attorney for Applicant

  
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Facsimile No. (414) 271-5770